An Atlas of Mass-Transport Deposits in Lakes

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11 Key Points:

- Bibliographic research on sublacustrine landslide-related studies and their distribution
 worldwide.
- Variabilities and commonalities of lacustrine Mass-Transport Deposits resulting from various mass-movement processes.
- Examples of vertical succession of intercalated Mass-Transport Deposits in lacustrine
 basin-fill sequences

18 Abstract

- 19 Mass-transport deposits (MTD) and related turbidites are common features in lacustrine
- 20 environments and are intercalated within uniform lacustrine background sedimentation. Evidence
- 21 of MTDs has been described worldwide in many lakes of different origin. They have been
- 22 reported to result from various types of mass-movement processes, which can affect the
- 23 subaquatic slopes but also the shoreline and basin.
- 24 Based on bibliographic research on sublacustrine landslide-related studies, we identified four
- 25 different types of mass movements that occur independently from the type of lake, but differ in
- source area, type of failure initiation, transport mechanism and resulting MTDs. These are: (1)
- 27 lateral slope landslides, (2) margin collapse, (3) delta collapses and (4) rockfalls. This study aims
- to illustrate variabilities and commonalities of lacustrine MTDs resulting from these four
- 29 different mass-movement processes by presenting type examples of published multi-method
- 30 investigations on lacustrine MTDs. Furthermore, this study provides a perspective on the wide
- 31 range of applications of MTD research in lakes, due to their well-constrained boundaries, smaller
- 32 size, continuity in sedimentation and the possibility to be surveyed on a complete basin-wide
- 33 scale.

34 **1 Introduction**

Similar to advanced geophysical imaging, geotechnical testing and geological sampling of mass-35 transport deposits (MTD) and slope sequences in the oceanic realm, the understanding of 36 subaquatic mass-movement processes has also advanced through numerous investigations in 37 lakes (e.g. Chapron et al., 1999; Girardclos et al., 2007; Moernaut et al., 2017; Moernaut & De 38 Batist, 2011; Schnellmann et al., 2002; Strasser & Anselmetti, 2008; Wiemer et al., 2015). Such 39 40 limnogeological, process-oriented research on causes and consequences of mass movements in lakes have revealed that general characteristics of MTDs, as well as their underlying transport 41 and initiation processes (e.g. slope preconditioning and landslide-triggering factors) are often 42 comparable to those described in the classical submarine landslides literature (Hampton et al., 43 1996; Lamarche et al., 2016; Locat & Lee, 2002; Masson et al., 2006; Talling et al., 2015, and 44 references therein). Given that lakes have well-constrained boundary conditions, smaller sizes 45 and offer the possibility to be investigated on a complete basin-wide scale, studying mass 46 movements in lacustrine environments offers a series of advantages that make lake studies vital 47 to improve our knowledge on marine processes as well. In particular, hydroacoustic surveying in 48 lakes typically uses higher frequencies and takes place in shallower water depths, leading to a 49 higher signal-to-noise ratio and higher vertical resolution for bathymetric and subsurface 50 structures than in many marine campaigns. Subbottom profiling in lakes often uses 3.5 kHz 51 seismic sources, which result in a theoretical decimeter-scale vertical resolution. Furthermore, 52 smaller water depths in lakes results in smaller spatial footprints of the geophysical signal and 53 thus higher horizontal resolution. Due to the smaller scale of landslide features and – in many 54 cases - limited sediment thickness overlying the bedrock, conventional coring from mobile 55 platforms at comparably low logistical costs often reaches down to critical depths, crossing 56

57 gliding surfaces. This allows a complete sampling and characterization of mass-transport

58 deposits and the (intact) stratigraphic sequences adjacent to subaqueous slope failures.

Bibliographic research using key-word searches (in English) and citation analyses in different
 online research platforms, such as Web of Science, Google Scholar, and Research Gate, provides

evidence of mass movements described in 172 lakes worldwide (Fig. 1 and supplementary data

Table 1 with a complete list of all literature compiled in supplementary online file S-1). This

63 compilation may not be complete, because some MTD descriptions in various lake publications

64 have likely been missed due to differences in terminology and due to the fact that domestic

65 scientific literature, in respective languages, has not been considered. Nevertheless, this

66 compilation shows that mass movements occur in all types of lakes of different origins, such as

67 glacigenic lakes (119 descriptions), tectonic lakes (23 descriptions), crater lakes (11

descriptions), dammed lakes (11 descriptions), karstic lakes (5 descriptions), meteorite impact

69 lakes (2 descriptions), and fluvial lakes (1 description) (supplementary data Table 1).

70 Among all surveyed literature, 38 publications present a comprehensive, sublacustrine-landslide-

related study that maps and characterizes at least one or more MTD in detail within the

72 investigated lake. Other studies typically focus on other themes (e.g. paleoclimate or

73 paleoenvironment) but describe or infer MTDs in either core or reflection seismic data. Above-

74 mentioned distribution of MTD occurrences and lake types is certainly biased by the fact that

75 various studies have different investigation foci and methodological approaches. Thus, the

⁷⁶ bibliographic data set cannot be statistically analyzed for process-based interpretations.

77 However, we will start to categorize different generic types of mass movements in lakes,

independently of the type of lakes in which they occur. In the following, we distinguish four main mass-movement types, based on their source areas, mode of failure initiation, transport

80 mechanism and resulting MTD.

Lateral slope landslides occur on non-deltaic sublacustrine slopes characterized by
 hemipelagic draping sedimentation, and consist in a translational or rotational movement of
 coherent lake-internal sediments along a distinct basal shear surface. The lateral slope landslides
 are usually facilitated by the presence of a weak layer and triggered by external mechanisms,

are usually facilitated by the presence of a weak layer and triggered by external mechanisms such as an earthquake or anthropogenic loading along the shoreline (e.g. Beigt et al., 2016;

Lowag et al., 2012; Normandeau et al., 2016; Schnellmann et al., 2002; Simonneau et al., 2013).

2) Margin collapses are typically larger in extent and show complex, multi-stage failures, which affect the entire sublacustrine slope and (possibly) the shore. These, usually deep-seated failures, are controlled by local tectonic structures crosscutting lake morphology and are able to remobilize a great amount of different sediments and rocks (e.g. Chassiot et al., 2016; Gardner et al., 2000; Lindhorst et al., 2015).

3) Delta collapses are subaquatic slope failures on prograding river delta fans, beyond the
gravitational sediment transport processes related to hydro-dynamics and sediment flux of the
river itself. Depending on size and volume they show various failure modes initiated by either an
external mechanism, such as an earthquake or a rockfall (e.g. Kremer et al., 2015; Praet et al.,
2017; Van Daele et al., 2015), or they can occur spontaneously due to high sedimentation
loading (Girardclos et al., 2007; Hilbe & Anselmetti, 2014, Vogel et al., 2015).

4) Rockfalls refer to a vertical or near-vertical fall of blocks and/or fragments of rocks from
 a very steep rock cliff. They can have both subaquatic and subaerial origin, the latter is common

in lakes in mountainous settings with steep rock cliffs surrounding the lake's shoreline (Bozzanoet al., 2009; Karlin et al., 2004; Schnellmann et al., 2006).

102 All these mechanisms of failure can evolve downslope in sediment density flows, which can

103 further be distinguished by their sediment concentration, nature and size of clasts, and flow

104 rheology into debris flows or turbidity currents (Ito, 2008; Talling, 2013; Talling et al., 2015) 105 resulting in various different types of deposits. However, this study of MTDs in lakes mainly

presents geophysical data that cannot distinguish between these various flow types. Thus,

adopting Dott's classification (Dott, 1963), we refer to MTDs as all types of mass-movement

deposits with the exception for deposits generated by turbidity currents combined with

potentially related tsunami and seiche waves (Shanmugan, 2015, Schnellmann et al., 2005). For

the latter we use the term turbidite, which indicates water-entrained and/or resuspended sediment

111 transported in a turbulent flow that can cover the terminal depocentre of lacustrine basins with a

112 typical ponding geometry. Whenever these units appear as mappable, homogenous to transparent

seismic facies in reflection data, we refer to them as megaturbidites (according to the initial

description by Bouma, 1987, and definition in lakes by Schnellmann et al., 2006).

115 This chapter presents MTDs and their cogenetic turbidites resulting from the 4 above-mentioned

116 types of mass movements in lakes. We will present selected examples of published lacustrine

117 MTD studies, reviewing and describing their characteristic features as observed in the different

limnogeological datasets, and briefly discuss their underlying generic processes, also with

respect to other global examples. This aims at (i) illustrating the variability and similarities of

120 lacustrine MTDs resulting from different mass-movement processes, and (ii) providing views

and perspectives of the wide range of fundamental to applied science applications of MTD

122 research in lakes and beyond.

123 **Figure 1** (see next page). Results of bibliographic research of MTDs in lakes. (a) World map

124 with locations of the 172 lakes, with evidences of MTDs, found among all surveyed literature.

125 Different symbols are used to mark lakes of different origin. The coloring of symbols is based

on: the 7 lakes which provide case studies for this work are highlighted with red. Lakes referred

127 to in this study are marked in yellow. All other lakes are marked in blue (see supplementary

128 Table 1). (b) Zoom-in on central-southern Europe.

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132 2 Selected case studies of lacustrine MTDs resulting from different mass-movement 133 processes

134 2.1 MTDs generated from lateral slope landslides

As selected case study, we present the neighboring Horgen and Oberrieden slides in Lake 135 Zurich, Switzerland (Fig. 2), compiled after the original studies by Kelts & Hsu (1980), 136 Strasser et al. (2013) and Strupler et al. (2017; 2018a). Perialpine Lake Zurich (47°15' N; 137 8°41' E; 135m deep) is located in northern Switzerland and occupies a glacially over-138 deepened trough. The southern slope of the central part of the main basin shows evidence 139 of several subaqueous lateral slope landslides, which generated MTDs and turbidites that 140 can be traced within the central basin (Strasser & Anselmetti, 2008). The 1875 AD 141 Horgen slide and the 1918 AD Oberrieden slide represent two prominent examples of 142 translational slides, in which the lacustrine sedimentary drape covering the slope has 143 failed along a basal surface of glacial deposits due to human activity in the near-shore 144 area (Fig. 2a). Even if they are only 1 km apart, the two resulting MTDs show different 145 frontal emplacement styles. They are classified (following the classification scheme by 146 Frey-Martinez et al., 2006) as a frontally emergent landslide (1875 AD Horgen slide), 147 and a frontally confined landslide (1918 AD Oberrieden slide) (Strupler, 2017). The 148 difference lies in the ability of the sliding mass to ramp up from its basal shear surface 149 and travel downslope over layers of undisturbed sediments. 150

- The 1875 AD Horgen slide represents a multiple-phase event (Kelts & Hsu, 1980) and is 151 characterized by an irregular erosional surface of 0.33 km², with terraces and gullies. The 152 depositional zone starts at the base of the slope and expands towards the central basin for 153 \sim 630 m. The presence of several blocks with dimensions up to 20 m, allows 154 differentiating the MTD from the lake bottom in multibeam bathymetry data (Fig. 2b). In 155 seismic data the MTD is characterized by a transparent-to-chaotic facies. The MTD 156 157 reaches its maximum thickness, which is \sim 6.6 m, at the base of the slope. The deposit thins towards the basin until it appears as a wedge that pinches out within parallel-158 stratified undisturbed sediments. A frontal ramp structure in the proximal part of the 159 frontally emergent landslide (highlighted with a black solid line in Fig. 2d) marks the 160 point in which the landslide was able to ramp up from the original basal shear surface and 161 move downslope over undisturbed sediments. Turbidite deposits, in the deep basin, have 162 been described in sediment cores by Kelts & Hsu (1980). Their longitudinal distribution 163 along the axis of the deep basin suggests that turbidity currents generated by the sliding 164 events were deflected by the opposite steep slope. 165
- The single-phase 1918 AD Oberrieden slide covers a translational area of 0.16 km², with 166 a clear scarp and the presence of various gullies on the steepest slope. The depositional 167 zone consists of a rough surface with radially-parallel frontal bulges (`a' white arrow in 168 Fig. 2c). The bulges occur at the toe of the deposit forming a ~250 m wide zone within 169 the frontal compressional regime during MTD emplacement. Such frontally confined 170 MTDs are not able to ramp up from the basal surface. Therefore they undergo a restricted 171 downslope translation with consequent ploughing of downslope adjacent sediments. As 172 result of the frontal thrusts, the toe area is protruding from the lake bottom by ~ 3.5 m. 173 The MTD is visible in seismic data as a transparent to chaotic unit with a maximum 174

thickness that is larger in the distal part of the landslide body, where it reaches ~15 m.
This area shows frontal thrust structures, which separate blocks of tilted and or/folded
sediment sections (Fig. 2e).

According to Moernaut and De Batist (2011), the frontal emplacement of a slide is 178 mainly controlled by the height of the center of gravity, which is determined, in turn, by 179 the relative height drop between headscarp and frontal ramp and subsurface depth of the 180 basal shear surface (i.e. the initial thickness of the sliding mass). A big height drop and a 181 shallow basal shear surface result in a greater landslide's ability to ramp out and evolve 182 in a frontally emergent landslide. Furthermore, frontally emergent landslides usually 183 show a higher mobility of the sliding deposits that are free to move outwards for long 184 distances. In agreement with Moernaut and De Batist (2011), the frontally emergent 185 Horgen slide shows higher values of height drop and smaller values of initial thickness of 186 the sliding mass, i.e. 130 and 4 m against 83 and 11.5 m of the Oberrieden confined 187 landslide. The emergent Horgen Slide is also characterized by a higher runout distance 188 (1180 vs. 865 m). 189

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Evidence of mass movements occurred in draped lateral slopes and resulting in 191 comparable MTDs with either frontally emergent or frontally confined emplacement 192 processes, are found in lakes of different origin worldwide. For instance, Anselmetti et al. 193 (2009), which investigated the crater lake of Laguna Potrok Aike in Argentina, 194 highlighted the presence of eight event horizons, with mass-movements originated from 195 the lateral slopes, in the last 8600 cal yr BP. These instability events were more frequent 196 during periods of high sedimentation and lowering of the lake's water level. Lateral slope 197 instabilities occurred also in Lake Baikal, the oldest and deepest lake on Earth, as 198 documented in seismic data by several lense-shaped bodies with chaotic seismic facies 199 (Solovyeva et al. 2016). These MTDs are separated in time, indicating repeated 200 instability events from the same slope, most likely related to activity of the tectonic 201 movements in the Baikal rift system. 202 Sauerbrey et al. (2013) identified and classified different types of Quaternary MTDs in 203 meteorite-impact Lake El'gygytgyn. About 16% of the total sediment thickness 204 accumulated in this 3.6 Myrs old Siberian lake is composed of MTDs from lateral slope 205

landslides, which took place along a weak sediment layer, mobilizing and disintegrating
 packages of lacustrine sediments overlying it.

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Figure 2 (see next page). Lateral slope MTD case study, Lake Zurich (Switzerland). (a) Location 209 of the 1875 AD Horgen and the 1918 AD Oberrieden landslides in Lake Zurich. Black arrows: 210 slide scarps; dotted black lines: deposit area. (b) Multibeam bathymetry data of the 1875 AD 211 Horgen MTD. The deposit area is highlighted by the presence of several blocks. (c) Multibeam 212 bathymetry data of the 1918 AD Oberrieden MTD. Parallel frontal bulges (marked with `a' white 213 arrow) outline the deposit extension. 3.5 kHz seismic profiles along the frontally emergent 214 Horgen MTD (d) and the frontally confined Oberrieden MTD (e). Blue line and dashed blue line 215 mark respectively the top and the base of the deposits. Location of profiles in Fig. 2b-c. Figures 216 modified after Strupler et al., 2017. 217



220 2.2 MTDs generated from margin collapses

Here we present the Udenisht slide complex (USC) in Lake Ohrid (Albania/Macedonia: 221 Fig. 3) as an example of this complex mass-failure processes (Lindhorst et al., 2012; 222 2015; Wagner et al., 2012). Lake Ohrid (41°05' N; 20°45' E; maximum water depth 293 223 m) was formed between 3 and 5 Ma BP, representing one of the oldest lakes in Europe. It 224 occupies approximately 360 km² of an active graben on the Balkan Peninsula. The USC 225 is located in the southwestern part of the lake and represents the largest mass-wasting 226 event found within the basin (Fig. 3a). It involved ~0,11 km3 of sediments of the 227 southwestern margin, which travelled northeast for up to 10 km, covering almost 10% of 228 the entire basin and reaching a maximum thickness of 50 m. Age estimations based on 229 230 the thickness of the post-failure sediment drape suggest that the USC is most likely younger than 1500 years (Lindhorst et al., 2012). 231

The USC has been surveyed and described in detail with multibeam bathymetry, 232 multichannel seismic and high resolution parasound data. Bathymetric data show that the 233 failure zone is bounded by ~25-m high sidewalls. In the upper part, the zone is 234 235 characterized by steep slope angles of up to 10° and in the lower part by an irregular topography (Fig. 3b-c), which is related to the presence of massive isolated blocks with 236 dimensions up to 50 by 10 m. In 100 and 180 m of water depth, two parallel north-south 237 striking morphological steps delineate tectonic faults (marked with black dashed lines in 238 Fig. 3c), which likely played an important role in the instability occurrence and deposit 239 240 distribution, as inferred from the geometrical relation between the USC sidewall and fault lineament. No clear head scarp is visible in the bathymetry data. This suggests a shallow 241 (near-shore) initiation of the failure that involved the entire margin slope. The occurrence 242 of two other slides, pockmarks structures and a prominent fault-related structure north of 243 the USC slide area, hint towards a relationship between active tectonics, focused fluid 244 flow and landslide initiation (Fig. 3b). The deposition area of the USC starts at \sim 150 m of 245 water depth, where the slope angle is $\sim 4^{\circ}$, and continues for up to 10 km into the deep 246 basin, until it reaches an area with slope angle of less than 1.5°. The proximal part of the 247 deposit is characterized by a hummocky top surface that stands out among the overall 248 smooth topography. Moving towards the central basin, the top of the USC-MTD becomes 249 smoother, and, therefore, hardly discernable from the general lake floor. 250

The multichannel seismic data show that the USC represents just the most recent of several MTDs that occurred in the same area (Fig. 3d). These deposits, which are visible as a chaotic-to-transparent seismic facies, intercalate conformable sub-parallel reflections. The northern sidewall of the USC landslide is visible as an abrupt 25-m high step in morphology. The thickness of the MTD increases gradually eastward towards the central basin, where bright spot amplitude anomalies, possibly related to fluid migration, are imaged (marked with blue circles in Fig. 3d).

A more detailed description of the USC features is obtained from 10-kHz parasound data (Fig. 3e). These data show that part of the transported mass has been trapped on the slope by several massive isolated blocks imaged on multibeam bathymetry. The MTD area is divided in three sections based on surface morphology, internal structure and thickness (Lindhorst et al., 2012). The upper section (I in Fig. 3e) shows a very rough topography

and high-amplitude reflections within the deposit. The limited thickness of the deposit in 263 this area allows identifying the base of the MTD, indicated by a high-amplitude seismic 264 horizon (marked with a red dashed line in Fig. 3e). The middle section (II) corresponds 265 with the main slide body. Here, the MTD is characterized by a maximum 50-m thick 266 chaotic-to-transparent seismic facies with internal high-amplitude reflections. The high 267 268 reflectivity of the lake floor prevents deeper penetration of the seismic signal, which is not reaching the base of the deposit. An abrupt decrease of the deposit thickness in 269 combination with a frontal ramp structure within the deep basin separates section II from 270 section III. The distal section (III) shows an irregular and discontinuous lake-floor 271 reflection, indicating a high roughness of the top surface. Below and in front of the slide 272 toe, parallel layers of undisturbed sediments are imaged. The collapse of the southwestern 273 margin of Lake Ohrid occurred in at least two phases in a retrogressive pattern and it was 274 275 most likely triggered by an earthquake (Lindhorst et al., 2012).

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A big margin collapse has also been described in the tectonic Lake Tahoe, USA (Gardner 277 278 et al., 2000) and relates, most likely, to the activity of the faults that border the lake basin, converging at a zone within McKinney Bay. Around ~60 ka BP (Smith et al., 2013), the 279 entire north-western margin of the lake failed, generating a major mass movement that 280 travelled towards the eastern margin. The failure brought to a change in the lake 281 morphology, with the creation of the present-day McKinney Bay, a 12-km long 282 embayment in the lake's shoreline. The respective MTD is imaged in reflection seismic 283 data as chaotic deposit with up to 40 m thickness across the deep basin, including big 284 blocks up to 1000 m long and 80 m high, which are also prominently visible in 285 bathymetric data. 286

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Figure 3 (see next page). Margin collapse MTD case study, Lake Ohrid (Albania/Macedonia). 288 (a) Location map of Lake Ohrid and margin collapse MTD. Blue and green boxes indicate 289 positions of 3D perspective view and zoomed-in image shown as figures. (b) 3D perspective 290 view of the southern area of Lake Ohrid. Red dashed line indicates the failure surface of the 291 USC, yellow dashed line the MTD extension. Two smaller MTD, a fault and pockmark-like 292 293 structures are marked north of the USC. (c) 3D image of the USC area with interpretation of the most prominent morphological features described in the text. (d) Multichannel seismic profile 294 across the USC. Several MTDs, visible as chaotic-to-transparent facies among the normal sub-295 parallel reflections, are identified. The USC MTD is marked on top by a yellow line and at the 296 base by a yellow dashed line. Older MTDs are marked with green lines. The sidewall of the 297 margin collapse landslide and fluid migration-related features are marked in the figure. (e) 298 Parasound profile cutting the USC failure surface and MTD with interpretation of the main 299 instability-related features. The MTD is divided in three sections (I, II, III), described in the text. 300 A dashed red line marks the base of the MTD. Figures modified after Lindhorst et al., 2012. 301 302



304 2.3 MTDs generated from delta collapses

The Muota Delta collapse in Lake Lucerne (Switzerland) is presented to illustrate MTDs 305 resulting from delta slope failures (Fig. 4) (Hilbe & Anselmetti, 2014; Siegenthaler & 306 Sturm, 1991). This event occurred in AD 1687 and is historically documented as a 5 m 307 high tsunami that took place during fair-weather and no-wind conditions (Billeter, 1923; 308 Bünti, 1973; Dietrich, 1689). The Treib Basin and Lake Uri are two of the seven sub-309 basins of perialpine Lake Lucerne, a glacigenic lake in Central Switzerland (47°N, 8.4°E) 310 (Fig. 4a). They have elongated shapes bounded by steep slopes and reach maximum 311 depths of 123 m and 199 m for the Treib and Lake Uri basins, respectively. Both basins 312 preserved evidence of the big subaqueous instability on the Muota delta in AD 1687 313 314 (Hilbe & Anselmetti, 2014).

- The prograding delta of Muota River shows a complex morphological structure with 315 lateral scarps and channels running from the central depositional fan to the deeper basins 316 (Fig. 4b). In the western part, towards the Treib Basin, the slopes are characterized by 317 low slope angles and smooth surfaces ("a" in Fig. 4b), whereas the eastern part shows 318 steeper slopes with constant angles of about 20° -25° ("b" in Fig. 4b), which descend to 319 Lake Uri. The headscarp of the AD 1687 delta collapse, which is not clearly detectable in 320 this area, was most likely located within the currently active fan and is overprinted by the 321 rapid deposition of post-event deltaic sediments (indicated with "c" black line in Fig. 4b). 322 The Muota delta collapse evolved in two directions, forming MTDs with distinct 323 324 characteristic features in both Treib Basin and Lake Uri (Hilbe & Anselmetti, 2014). The main part of the failed sediments descended towards Lake Uri, generating a MTD at the 325 base of the slope and an associated turbidite, whereas westwards it induced deformation 326 of the basin sediment of Treib Basin. Here, at the toe of Muota delta, a 300-m wide lobe 327 structure extends for 800 m towards the basin and is delineated by an external bulge of 1-328 2 m height (marked with "d" in Fig. 4b). Smaller parallel bulges, protruding just a few 329 decimeters, are present within the lobe ("e" in Fig. 4b). Towards the base of the slope 330 they are replaced by a more hummocky topography. 331
- The MTD in Lake Uri extends southward of the delta slope for 1.5 km, asymmetrically covering the entire basin in east-west direction, with thicknesses of 10 m in the east and 2-6 m in the west. In the northeastern part, the MTD shows a hummocky upper surface, with irregularities up to 30-m wide and 4-m high ("a" in Fig. 4c), which become less pronounced towards the southwest.
- The seismic penetration is very low near the delta due to the presence of free gas in the 337 sediments. The signal reaches 15 m depth in the central basin, revealing chaotic-to-338 transparent facies within the generally well-stratified sediments (Fig. 4d). Sediment cores 339 show that the latter is mainly characterized by grey to brown muddy layers with 340 intercalated thin turbidites, not resolvable in seismic data(Fig. 4e-A, core P5; Fig. 4e-C, 341 core P7). On the seismic profiles, two major megaturbidites, with thicknesses of 1 and 1.5 342 m, are identified (MT1 and MT2 in Fig. 4d), both showing a ponding geometry. This 343 basin-focused depositional pattern is most likely the result of seiches following the mass 344 movement. These periodic oscillations of water level may move coarser sediments back 345 and forth on the lake floor and keep the fine sediments in suspension for a longer period 346

of time. This generates a focused and symmetrical deposition of sediments in the central
basins (Beck, 2009; Hilbe & Anselmetti, 2014). In the cores, the corresponding turbidites
usually have a sandy base, which creates a sharp contrast with the underlying undisturbed
muddy layers (Fig. 4e-B, core P7).

The lower megaturbidite (MT2) is related to the Muota Delta collapse and is overlaying 351 the associated MTD, which shows an irregular, southward-dipping top surface and ends 352 with a 2-m high and 200-m wide tapering wedge that comprises layers of deformed 353 laminated mud (Fig. 4e-D, core P6). Core P5 revealed in the uppermost meter of the 354 MTD the presence of plants remains, mainly grass and some wood fragments, along with 355 gravel and mud clasts (Fig. 4e-E, core P5), which are covering a sequence between 356 357 almost homogeneous mud and mud-rich gravel with rounded pebbles (Fig. 4e-F, core P5). The total volume of the deposit is approximately 11 x 106 m³ but a considerable part 358 is not coming from the source area, as intact or weakly deformed blocks of sediments 359 were entrained within the mass movement (Hilbe and Anselmetti, 2014). 360

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Prograding delta fans are more susceptible to instability than non-deltaic draped lateral 362 slopes, due to the high amount of clastic sediment input leading to oversteepening and 363 possible development of pore-water overpressure. Therefore, mass movements in delta 364 fans, with different size and triggering mechanisms, are common features in many lakes 365 worldwide. For instance, a delta-slope failure was identified in Lake Quinault, USA, 366 based on the presence of a MTD and megaturbidite in the deep basin, and can possibly be 367 linked to the giant (M_{W} ~9) AD 1700 Cascadia earthquake (Leithold et al., 2018). 368 Megathrust earthquakes are also interpreted as a trigger for delta collapse-related MTDs 369 occurring at correlative stratigraphic levels across three south-central Alaskan proglacial 370 lakes (Praet et al., 2017). Delta and alluvial fan failure deposits, related to the AD 1964 371 372 Alaska Earthquake, represent 95% of the total landslide volume in Kenai Lake, 33-39% in Eklutna Lake and 15% in Skilak Lake. A spontaneous delta collapse occurred in spring 373 AD 1996 in Lake Brienz (Switzerland). The event was detected by a series of events (i.e. 374 seiches, turbidity increase and low oxygen concentrations in deep waters) and created a 375 large megaturbidite deposit, which is covering the flat lake basin (Girardclos et al., 2007). 376 In Lake Geneva, a large delta collapse in AD 523, resulting in a prominent MTD and 377 megaturbidite deposit, was triggered by a subaerial rockfall loading and mobilizing the 378 water-saturated delta plain (Kremer et al., 2015). 379

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Figure 4 (see next page). Delta collapse MTD case study, Lake Lucerne (Switzerland). (a) 381 Location of the Treib and Lake Uri basins in Lake Lucerne. Red and blue boxes indicate 382 positions of detailed bathymetric maps shown as figures. (b) Multibeam bathymetric data of 383 Muota delta and the easternmost part of Treib Basin. Features described in the text are labelled: 384 `a' slope with low slope angles and smooth surfaces; `b' slope with steep angles; `c' currently 385 active fan; 'd' external bulge of collapse-related lobe; 'e' small parallel bulges within the 386 deposit. (c) Multibeam bathymetric data of the northern part of Lake Uri showing a hummocky 387 surface at the toe of the Muota delta ('a'). (d) 3.5 kHz seismic profile along the northern part of 388

- Lake Uri, see Fig. 4c for location. The AD 1687 Muota delta collapse MTD is marked with blue
- line on top and blue dashed line at the base. The related megaturbidite and a younger
- 391 megaturbidite are outlined (top: solid line; base: dotted line) and labelled (MT2 and MT1).
- 392 Vertical black lines show the position of sediment core, and white boxes with black outline show
- the detailed location of images presented in Fig. 4e. (e) Photographs of split core surfaces
- 394 showing typical lithologies from Lake Uri: (A) laminated muddy layers with turbidites; (B)
- 395 sandy base of collapse-related megaturbidite (MT2); (C) laminated muddy layers with turbidites;
- 396 (D) deformed laminated mud in frontal wedge of Muota delta collapse MTD; (E) accumulation
- of plants remains; (F) muddy gravel with rounded pebbles. Red and blue lateral lines indicate
 respectively turbidite layers and the sandy base of MT2. Figures modified after Hilbe and
- 398 respectively turbic399 Anselmetti, 2014.
- 400 Anseimetti, 2014.
- 401



403 2.4 MTDs generated from rockfalls

Repeated rockfall activity from the steep cliff of Bürgenstock Mountain, on Lake 404 Lucerne (Switzerland), offers a representative case study for MTDs related to this type of 405 gravitational mass movement (Fig. 5) (Hilbe et al., 2011; Schnellmann et al., 2006). The 406 Vitznau Basin is one of the three distal basins of Lake Lucerne, Central Switzerland 407 (47°N, 8.4°E) and is located at the Alpine Front. The basin is surrounded to the south by 408 the steep limestone cliffs of Bürgenstock Mountain, and to the north by the conglomerate 409 slopes of Rigi Mountain, which show a more gentle topography. Rockfall deposits and 410 rockfall-evolved MTDs are abundant in the Vitznau Basin, and they are present at the 411 base of the slopes in the form of debris cones (Fig. 5a). These generally triangular-shaped 412 deposits show hummocky, irregular topography and positive relief on bathymetric maps. 413 The bathymetric data of the Vitznau Basin highlights the presence of a major event at the 414 base of Rigi Mountain, as well as several repeated events at the toe of the Bürgenstock 415 cliffs (Fig. 5a). In this area rockfalls originate from the steep slopes above lakeshores, as 416 highlighted by the presence of subaerial scarps (Fig. 5b). 417

Schnellmann et al. (2006) report at least six rockfall events that occurred in the 418 Bürgenstock cliff area during the last 12000 years, with the latest correlated to a strong 419 regional earthquake in AD 1601. On the bathymetry data, this area shows two distinct 420 rockfall cones, both characterized by hummocky surfaces with only large-scale 421 irregularities (Fig. 5c). Small-scale irregularities, most likely associated with isolated 422 423 blocks, which have been smoothed out by post failure sedimentation. The larger cone, located to the west, covers an area of approximately 0.2 km² and extends for 320 m north 424 to the base of the slope. On seismic data, it appears as a chaotic seismic facies with some 425 discontinuous high-amplitude reflections and an irregular upper surface (Fig. 5d). This 426 irregular surface and the likely presence of isolated blocks lead to a low penetration of 427 428 the seismic signal. At the foot of the rockfall cone, three MTDs are identified at different stratigraphic levels (marked with I, II and III in Fig. 5d). They are likely to be rockfall-429 evolved deposits and, therefore, their presence confirms a repeated rockfall activity in 430 this area. These wedge-shaped units, of which the thickness is decreasing towards the 431 basin, are characterized by a chaotic seismic facies with high-amplitude reflections. This 432 common feature for rockfall-evolved deposits is most likely related to the presence of 433 rock fragments in a muddy matrix, as shown in the core of Fig. 5e. The core in Fig. 5d 434 represents the sedimentary succession through a rockfall-evolved deposit and highlight 435 the presence of limestone fragments up to 5 cm within the deposit. The deposit overlies 436 laminated layers of undeformed sediments and is, in turn, overlain by a 10-cm thick 437 turbidite. 438

439

Rockfalls are common events in subaerial steep slopes and can generate water waves and
secondary instabilities on the subaquatic slopes, leaving significant imprints in the
lacustrine record. The AD 1960 Great Chilean Earthquake (Mw 9.5) triggered several
rockfalls along the slopes bordering Lake Pellaifa. Several of these rockfalls surged into
the lake leading to a reported tsunami and subsequent seiche, which resulted in the
deposition of a 2-m thick megaturbidite in the deep basin (Van Daele et al., 2015). Daxer

et al. (in press) reports the occurrence of repeated rockfall activity from the southern 446 shore of Lake Mondsee (Austria), based on morphological evidence and seismic and core 447 data. The infrequent but repeated rockfalls originated from a steep and weathered cliff, 448 shaping the present-day morphology of the shore. Even if the volumes of these events are 449 not comparable with the ones in Lake Lucerne, the instabilities have led to various 450 451 sedimentological imprints in the near-shore area, as indicated by cores and seismic data. Rockfall deposits are the most frequent instability events in Lake Albano, Italy, as 452 reported by Bozzano et al. (2009). All these deposits are related to combined subaerial-453 subaqueous instability events, as suggested by the presence of subaerial scarps along the 454 shoreline and of subaquatic deposits, such as "block fields" and isolated blocks of up to 455 100 m^2 wide that are visible on the lake floor. 456

457

Figure 5 (see next page). Rockfall MTD case study, Lake Lucerne (Switzerland). (a) 458 Bathymetric map of Chrüztrichter and Vitznau basins in Lake Lucerne with interpretation of the 459 main observed morphologies, including rockfall cones. See Fig. 4a for location. Figure modified 460 after Hilbe et al., 2011. (b) Aerial photograph of the steep slope of Bürgenstock Mountain. A 461 dashed red line marks the rockfall scarp. Photograph by Bernd Nies. (c) Detailed bathymetric 462 data of two rockfall cones, marked with white dashed line, at the toe of Bürgenstock Mountain 463 (see Fig. 5a for location). (d) 3.5 kHz seismic profile across a major rockfall cone. At the foot of 464 the rockfall cone, three rockfall-evolved MTDs are identified at different stratigraphic levels, 465 suggesting a repeated rockfall activity from the Bürgenstock cliffs. See Fig. 5c for location. 466 Figure modified after Schnellmann et al., 2006. (e) Example of sediment core through rockfall-467 468 evolved MTD and photograph of rock fragments. Figure modified after Schnellmann et al., 2006. 469



472 **3** Vertical succession of intercalated MTDs in basin-fill sequences

473 As already mentioned in several of the examples presented above, MTDs originating from

474 different types of instability are often intercalated within the lacustrine normal background

sedimentation, representing a distinct MTD-stratigraphy. MTDs often appear to be deposited in a

476 vertical succession, suggesting a repeated destabilization of the same slope area though time. In

the sedimentary sequence, these deposits are generally separated by layers of undisturbed

sediments, of which the thickness depends on the background sedimentation rate and on the

- 479 frequency of mass movements.
- 480 In the following we will present 4 examples of vertical succession of intercalated MTDs
- visualized on 3.5 kHz pinger seismic data from four different lakes worldwide (Fig. 6). We
- briefly showcase how identification and dating of MTDs stratigraphy extends the historic event
- catalogue to prehistoric times, unraveling geological information about the long-term instability
- 484 occurrence linked to either long-term preconditioning or short-term trigger factors as they may
- relate to past climate, environment and/or seismotectonic conditions.

486

Figure 6 (see next page). Examples of vertical succession of intercalated MTDs. MTDs are 487 marked on top by solid line and at the base by dashed line. (a) 3.5 kHz seismic profile in Skilak 488 Lake (Alaska). 7 event horizons are identified, each comprising coeval MTDs. The youngest 489 event, in orange, corresponds to the AD 1964 (M_w 9.2) earthquake in Alaska. Figure modified 490 after Praet et al., 2017. (b) 3.5 kHz seismic profile in Lake Como (Italy). Two prominent MTDs, 491 492 labelled with `MTD1' and `MTD2', and their related megaturbidites (top: solid line; base dotted line) are identified at the toe of Bellagio Plateau. Figure modified after Fanetti et al., 2008. (c) 493 3.5 kHz seismic profile in Lake Fagnano (Argentina/Chile). Several MTDs are identified at 494 different stratigraphic levels. The asymmetry of the most prominent event, highlighted in light 495 blue, leads to an inclined post-failure stratigraphy. Figure modified after Waldmann et al., 2011. 496 497 (e) 3.5 kHz seismic profile in Lake Calafquén (Chile). Several frontally emergent MTDs are identified at the base of the slopes. The largest deposit, highlighted in green is the result of three 498 simultaneous failures along different slopes. Above this horizon, fluid/sediment escape features. 499 possibly related to earthquake-induced liquefaction and fluidization of buried MTD soft 500 sediments, are marked. Figure modified after Moernaut et al., 2017. 501 502



505 3.1 Skilak Lake

Skilak Lake is a glacigenic lake on the Kenai Peninsula, in south-central Alaska 506 $(60^{\circ}24')$ N; $150^{\circ}20'$ W). The lake basin consists of two sub-basins: a deep proximal basin, 507 with maximum depth of 194 m that gradually transitions into a shallower distal basin, 508 which reaches 140 m depth. Based on seismic stratigraphic interpretations, Praet et al. 509 (2017) map several MTDs intercalated between the uniform background sedimentation, 510 as well as their related megaturbidites in the central part of the deep basin. Seven event 511 horizons are identified, each of them comprising multiple coeval MTDs widespread over 512 the lake basins (Figure 6a). Instabilities, which comprise mostly lateral slope landslides, 513 occurred on both northern and southern slopes, as indicated by the stratigraphically-514 515 correlated MTDs at the base of the opposite slopes. These failures can also generate megaturbidites, which were deposited in the deepest part of the lake and which are 516 characterized by the typical ponding geometry. Seismic data show that the northern 517 MTDs are usually larger than the southern ones. This is interpreted as a consequence of 518 the larger amount of sediments on the more gentle northern slopes, compared to the 519 steeper southern ones. The youngest event (marked with orange lines) corresponds to the 520 AD 1964 (M_w 9.2) earthquake in this area. This earthquake triggered a total of 23 mass 521 movements in Skilak Lake with a total volume of 9.9 x 107 m³. The related megaturbidite 522 has an estimated total volume of 2.7 x 106 m³. Synchronous failure of different lacustrine 523 slopes hints at regional trigger mechanism, such as a strong earthquake. Thus, prehistoric 524 stratigraphic levels with coeval landslides can be used to infer the occurrence of strong 525 earthquakes (Praet et al., 2017). 526

527 3.2 Lake Como

Lake Como (46°10'N; 09°16'E) is located in the Italian Alps and has depths of up to 425 528 m. It has a glacial origin enhanced by tectonic preconditioning and therefore has a 529 530 complex shape with three lake branches. The deepest part of the lake (Argegno Basin) is situated in the southwestern branch, which is separated from the other branches by a 531 submerged plateau (Bellagio plateau). Two prominent MTDs and their associated 532 megaturbidites are identified from reflection seismic data in the Argegno basin (Fig. 6b) 533 (Fanetti et al., 2008). The two MTDs are located at the foot of the plateau at \sim 5m and 534 535 \sim 8m subsurface depth. The basinward-(southward) thinning, wedge-shaped MTD bodies, with irregular and locally erosive basal and hummocky top surfaces, have similar chaotic-536 to-transparent reflectivity patterns, which is in clear contrast to the high-amplitude and 537 continuous reflections of undisturbed sediments, above, between and below. The MTDs 538 are the result of large slides that occurred on the steep slopes of the plateau. A 539 morphological sill, which divides the upper and deepest part of the basin, defines the 540 distal limits of the MTDs and most likely has played an important role in the evolution of 541 the mass flows into turbidity currents. The correlative megaturbidites are prominently 542 imaged as an acoustically-transparent seismic facies and sharp, high-amplitude upper and 543 544 lower reflections between the horizontally-stratified background sediments. They show a ponding geometry onlapping on the basin edges and they extend over the entire basin 545 length (~5 km), reaching maximum thicknesses of 1.5 m (MT1) and 3 m (MT2). As these 546 megaturbidites are not yet cored, Fanetti et al. (2008), estimated the ages from near-547 surface radio-nuclide (Cs-137) dating and extrapolation of sedimentation rates and 548

549suggest that the events occurred in the 12th (MT1) and 6th centuries (MT2). Since there550is historical evidence for a strong regional earthquake in the 12th century, Fanetti et al.,551(2008) further speculated that the observed mass-movements in Lake Como could have552triggered by seismic shaking of the sediment-overloaded steep slope of the Bellagio553plateau.

554 3.3 Lake Fagnano

Lake Fagnano (54°32'S; 67°59'W) is located on the main island of Tierra del Fuego 555 (Argentina/Chile), in a pull-apart basin that was further shaped by glaciers. It is divided 556 in a western basin and a smaller eastern basin with maximum depths of 110 and 210 m, 557 respectively. The seismic data in the eastern basin allow identifying several event 558 horizons of synchronous MTDs and related megaturbidites within well-stratified 559 sediments (Fig. 6c) (Waldmann et al., 2011). The chaotic seismic facies of MTDs are 560 located at the base of the southern slope and are getting thinner toward the center of the 561 basin. The MTDs generally have smooth upper surfaces, but show irregular bases, which 562 are locally eroding and deforming the overridden basin sediments. In the deep basin, 563 related megaturbidites are identified as seismically-transparent facies with ponding 564 geometry. The most prominent event (in light blue in Fig. 6c), was dated \sim 7100 yr BP 565 taking into consideration one regionally-documented tephra layer, radiocarbon ages and 566 modeled sedimentation rates. This MTD is lens-shaped and fills the basin in an 567 asymmetric way, leading to an inclined post-failure basin stratigraphy. This inclination is 568 further enhanced by the repeated occurrence of mass-movements from the southern slope 569 and is clearly preserved in the actual lake bottom morphology. 570

- 571 The simultaneous occurrence of different mass movements suggests an external trigger 572 mechanism, most likely earthquakes along the active Magallanes-Fagnano transform 573 fault, which were able to mobilize the sedimentary drape of the southern slope. The 574 northern slope is too steep to permit sediment accumulation.
- 575 3.4 Lake Calafquén

Lake Calafquén (39°31′ S; 72°08′ W) is a glacigenic lake at the foot of the south-central sector of the Andes. It consists of a main large basin with depths up to 215 m, and a smaller basin to the south-west. The studied SW basin is characterized by numerous coeval MTDs at different stratigraphic layers (Fig. 6d). The MTDs are located at the base of the slopes and are classified as frontally emergent landslides, as shown by the presence of frontal ramps in the seismic data (Moernaut, 2010).

The largest deposit, highlighted in green (Fig. 6d), covers the entire southwestern basin 582 and is the result of three simultaneous failures along different slope segments. The mass 583 movement has deeply deformed the sediments at the base of the slope, which become 584 therefore included in the chaotic-to-transparent facies of the deposit. Vertical acoustic 585 wipe-outs and intercalated upward-concave zones of up to 80-m wide and 1.9-m thick 586 with low-amplitude reflections are identified above the deposit and have been related to 587 fluid migration activity. Moernaut et al. (2009) suggests that these features have been 588 created by earthquake-induced liquefaction and fluidization of the soft sediments of the 589

590 buried MTD, resulting in sediment extrusions at the contemporaneous lake bottom, 591 forming sediment volcanoes.

592 The presence of multiple MTDs in all the stratigraphic event horizons suggests that the 593 occurrence of instabilities is strictly related to the seismic activity of the area, which is 594 dominated by the megathrust earthquake cycle of the Chilean subduction zone (Moernaut 595 et al., 2014, 2017). The youngest event corresponds to the giant AD 1960 (M_w 9.5) 596 earthquake, which generated instabilities along the steep flanks of the lake. It comprises 597 seven MTDs located at the base of the slope and a 5-cm thick turbidite, which cannot be 598 identified on seismic data, but which was confirmed by cores (Moernaut et al., 2017).

599 4 Discussion/Conclusion

Bibliographic research highlights that mass movements are common processes in all types of 600 lacustrine environments and can be classified based on the source area, initiation and transport 601 mechanisms, and resulting MTDs and megaturbidites. In particular, we focused on four different 602 instability mechanisms and their related deposits. The reported examples highlight that in 603 reflection seismic data, MTDs often show similar features, even when related to different mass 604 605 movement processes. These common features include their geometries (wedge-shaped bodies), internal seismic facies (typically characterized by chaotic-to-transparent facies), the irregularity 606 of the upper surface, and the presence of related megaturbidites towards the basin. Nevertheless, 607 the use of multi-method investigations on lake-basin wide scales brings complementary 608 information about the erosional and depositional area, allowing to differentiate between different 609 610 mass-movement mechanisms.

In the last decades the study of sublacustrine instabilities became increasingly important in 611 different research fields, e.g. paleoclimate, paleoseismology and natural hazard assessment. Due 612 to their small size, well-constrained boundaries, and spatial and temporal continuity in 613 sedimentation, lakes provide well-datable sedimentary archives of the past environmental and 614 climatic changes of the lake and its surrounding. Furthermore, high-energy natural events, such 615 as earthquakes, floods, shore and delta collapses have been shown to leave important fingerprints 616 in the lacustrine sedimentation, allowing to extend the historic event catalogue to prehistoric 617 times. Single MTDs with large correlative megaturbidites can be caused by e.g. spontaneous 618 delta collapse, for which no external trigger is needed (e.g. Girardclos et al., 2007), while strong 619 620 earthquakes have been proven to be able to generate synchronous basin-wide mass movements and resuspend large amounts of sediments (Schnellmann et al., 2002). The resulting coeval 621 multiple MTDs and related megaturbidites form distinct and characteristic fingerprints of past 622 earthquakes in the sedimentary record (Kremer et al., 2017, and Praet et al., 2017 and referenced 623 therein). The identification and dating of these synchronous instability events allows 624 reconstructing frequency and seismic mechanisms of paleo-earthquakes in the area (Doughty et 625 al., 2014; Howarth et al., 2014). When the studied lake and geophysical imaging reveals vertical 626 succession of intercalated MTDs, which can be cored to date the event horizons, the earthquake 627 recurrence pattern can be analyzed. Furthermore, integration of these data with other data set 628 629 allows for rough estimates of the magnitude of causing earthquake (Becker et al., 2005; Boës et al., 2010; Kremer et al., 2017; Lauterbach et al., 2012; Strasser et al., 2006, 2013). Thus, studies 630 of historic and prehistoric instabilities and their deposits are essential for natural hazards 631

assessment, which also includes slope-stability analysis and tsunami modeling (Lindhorst et al., 632 2014; Strasser et al., 2011; Strupler et al., 2017, 2018a, 2018b). 633

One key question in the current research of landslide is whether the lacustrine landslides can be 634

- scaled up to the much larger marine landslide. If lakes could be considered as small scale model 635
- of marine environment, the study of lacustrine mass movement would become even more 636
- significant, improving our understanding of marine instability events with the details and 637 advantages of lacustrine investigations.
- 638

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